

17621 U.S. PTO  
122903

Please type a plus sign (+) inside this box ☐ Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. HTC-002/C  
First Inventor Nissenbaum et al.  
Title Devices, Systems And Methods For....  
Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 77]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 41]
- Oath or Declaration [Total Pages 5]
  - ☐ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))
  - ☒ (for continuation/divisional with Box 17 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☒ Other: Accounting Sheet  
Preliminary Amendment.....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09/713,589, filed 11/15/2000

Prior application information:

Examiner Gloria M. Hale

Group I Art Unit: 3765

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

Name	David Prashker				
Address	DAVID PRASHKER, P.C.				
	P.O. Box 5387				
City	Magnolia	State	Massachusetts	Zip Code	01930
Country	USA	Telephone	978-525-3794	Fax	978-525-3794

Name (Print/Type)	David Prashker	Registration No. (Attorney/Agent)	29,693
Signature	David Prashker		Date 12/29/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

17548 U.S. PTO  
107748036  
122903  
The PTO did not receive the following listed item(s) 6 pages of drawings

ACCOUNTING SHEET

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

HTC-002/C  
Case Docket No.

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Michael Nissenbaum, Ducksoo Kim & Andy H. Levine  
For: "DEVICES, SYSTEMS AND METHODS FOR CRATING SUTURELESS  
ON-DEMAND VASCULAR ANASTOMOSES AND HOLLOW  
ORGAN COMMUNICATION CHANNELS"

XXX Enclosed are:

XX 77 pages of Specification.

XX 12 pages of claims.

XX 41 sheets of drawing.

XX An original combined Declaration And Power Of Attorney.

XX A written assertion of entitlement to Small Entity status  
pursuant to 37 C.F.R. 1.27.

XX An Assignment of the invention to \_\_\_\_\_.

XX A Preliminary Amendment \_\_\_\_\_.

XXX The filing fee has been calculated as shown below [FY2004]:

\*\*\*\*\*

Recording each Assignment..... \$ 40 // \$ 40

	(Col. 1)	(Col. 2)	SMALL ENTITY	//	LARGE ENTITY
<b>FOR:</b>	No. Filed /	No. Extra	/ Rate - Fee	(or)	Rate - Fee
<b>BASIC FEE:</b>	*****	*****	**** \$ 385 (or)	****	\$ 770
<b>TOTAL CLAIMS:</b>	3 - 20 =	0	x \$ 9 = \$ 00 (or)	x \$18 = \$	****
<b>INDEP CLAIMS:</b>	3 - 3 =	0	x \$43 = \$ 00 (or)	x \$86 = \$	****
<b>MULTIPLE DEPENDENT CLAIM PRESENTED</b>			+\$145 = \$ 00 (or)	+\$290 = \$	****
<b>TOTAL = \$ 385</b>					

XXX A check in the amount of \$ 385.00 for the filing fee is enclosed.

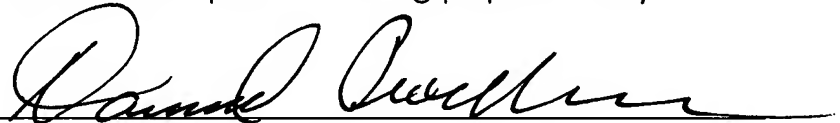
"Express Mail mailing label number EU339506414US

Date of  
Deposit December 29, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 with the mailing label number and the date indicated above, and is addressed to the Commissioner for Patents, P.O. Box 1450, Mail Stop: Patent Application, Alexandria, Virginia 22313-1450.

David PRASHKER

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nissenbaum *et al.*  
Serial No. : yet to be provided  
Filed : herewith  
For : "DEVICES, SYSTEMS AND METHODS FOR  
CREATING SUTURELESS ON-DEMAND VASCULAR  
ANASTOMOSES AND HOLLOW ORGAN  
COMMUNICATION CHANNELS"  
Attorney's Docket No. : HTC-002/C

.....

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Mail Stop: Patent Application  
Alexandria, Virginia 22313-1450

Dear Sir:

Enclosed for filing on this date by Express Mail Post Office To Addressee service with the U.S. Patent & Trademark Office on behalf of the above-identified applicants, please find the following:

1. A Utility Patent Application Transmittal form for filing a Continuation Application under 37 C.F.R. 1.53(b) of prior pending U.S. Patent Application Serial No. 09/713,589 filed November 15<sup>th</sup>, 2000 with the U.S. Patent & Trademark Office;
2. A true and accurate copy of presently pending U.S. Patent Application Serial No. 09/713,589 filed November 15<sup>th</sup>, 2000;
3. A written assertion of entitlement to Small Entity status of record in the co-pending parent case;

4. A signed combined Declaration And Power Of Attorney document if record in the co-pending parent case;
5. A Preliminary Amendment; and
6. A check in the amount of three hundred eighty five (\$ 385.00) dollars in payment of the requisite filing fees.

Respectfully submitted,

MICHAEL NISSENBAUM  
DUCKSOO KIM  
ANDY H. LEVINE

Date: Dec. 29, 2003  
P.O. Box 5387  
Magnolia, Massachusetts 01930  
Tel: (978) 525-3794

By: David Prashker  
David Prashker  
Reg. No. 29,693  
Attorney for Applicants